

In re Application of: Katsumi Sameshima  
 Serial No.: 09/451,979  
 Confirmation No.: Unassigned  
 Filed: November 30, 1999  
 For: FERROELECTRIC MEMORY AND METHOD  
 FOR MANUFACTURING SAME

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 Assistant Commissioner for Patents, Washington, D.C.  
 20231 on August 9, 2001

COMMISSIONER FOR PATENTS  
 Washington, DC 20231



Signature: [Signature]

Sir:

Transmitted herewith is an Amendment to the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDL. FEE		RATE	ADDL. FEE
TOTAL	* 5	MINUS	** 20	= 0	x 9=	\$		x 18=	\$
INDEP.	* 1	MINUS	*** 3	= 0	x 40=	\$	OR	x 80=	\$
					x 135=	\$		x 270=	\$
					TOTAL	\$ 0.00		TOTAL	\$ 0.00

- ☐ Please charge my Deposit Account No. 08-2461 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$\_\_\_\_\_ is attached.
- ☐ The Commissioner is hereby authorized to charge any fees or additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is attached.
- ☐ Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.


Respectfully submitted,

[Signature]

HOFFMANN & BARON, LLP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

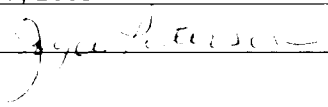
Applicant(s)	Katsumi Sameshima	Examiner:	Wai-Sing Louie
Serial No.:	09/451,979	Group Art Unit:	2814
Confirmation No.:	Unassigned	Docket:	362-39
Filed:	November 30, 1999	Dated:	August 9, 2001

For  FERROELECTRIC  
MEMORY AND METHOD  
FOR MANUFACTURING  
SAME

Commissioner for Patents  
Washington, DC 20231

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**AMENDMENT**

Sir:

In response to the non-final Office Action mailed May 9, 2001, a reply to which is due August 9, 2001, please amend the above-identified application in accordance with 37 C.F.R. §1.121 as follows:

**IN THE SPECIFICATION:**

At page 1, replace the paragraph beginning at line 25 and ending at page 2, line 9 with the following:

In the prior art, however, a conductive film 5a, a ferroelectric film 4a and a conductive film 3a are formed to a thickness to provide an upper electrode 5, a ferroelectric film 4 and a lower electrode 3, so that dry etching is then conducted throughout a total film thickness in order to remove unwanted portions of these films. Thus, the prior art has